



ACCOUNT OPENING FORM

CORPORATE ACCOUNT

12th Floor, Elephant House, 214 broad street, Marina Lagos

All information is treated as confidential and may be required by the Securities & Exchange Commission and/or the Nigerian Stock Exchange

SECTION A- CORPORATE INFORMATION

Company Name:		Date of Incorporation	
Company Address			
Company Type	<input type="checkbox"/> Limited Liability	<input type="checkbox"/> Partnership	<input type="checkbox"/> Others
RC Number		Place of Incorporation	
		Business Activity*:	
Phone Number:		Alternate phone number	

Estimated annual income in Naira (Tick as Appropriate)

Less than N1,000,000 Between N1,000,000 & N5M Between N5M & N10M More than N10M

COMPANY BANK DETAILS

Bank Name			
Bank Address			
Bank Account Number		TIN Number	

SECTION B: AUTHORIZED SIGNATORIES

PRINCIPAL CONTACT PERSON

Full Name		Maiden Name (where applicable)	
Phone Number		BVN	
		Position	
Email		Residential address	

AUTHORISED SIGNATORY (1)

Full Name		Maiden Name (Where applicable)	
Residential Address			
Email			
I.D Type		I.D Number	
		Expiry date	
Phone Number		BVN	
Nationality		State of origin/LGA	
Specimen signature			

Annual Income Less than N1,000,000 Between N1,000,000 & N5M Between N5M & N10M More than N10M

AUTHORISED SIGNATORY (2)

Full Name		Maiden Name (Where applicable)		
Residential Address				
Email				
I.D type	I.D number			Expiry date
Phone Number	BVN			
Nationality	State of origin/LGA			
Specimen Signature				

Annual Income Less than N1,000,000 Between N1,000,000 & N5M Between N5M & N10M More than N10M

AUTHORISED SIGNATORY (3)

Full Name		Maiden Name (Where applicable)		
Residential Address				
Email				
I.D type	I.D number			Expiry date
Phone Number	BVN			
Nationality	State of Origin/LGA			
Specimen Signature				

Annual Income Less than N1,000,000 Between N1,000,000 & N5M Between N5M & N10M More than N10M

Mandate/Signing instruction

Only e-mail mode of mandate is acceptable.

ULTIMATE BENEFICIAL OWNERS (1)

(Shareholders with more than 5% Shareholding in the Company)

Full Name		Maiden Name (Where applicable)	
Residential Address			
Nationality		State of Origin/LGA	
Email	% of Shareholding		
I.D Type	I.D number	Expiry date	
Phone Number	BVN		

ULTIMATE BENEFICIAL OWNERS (2)

(Shareholders with more than 5% Shareholding in the Company)

Full Name		Maiden Name (Where applicable)	
Residential Address			

Nationality		State of Origin/LGA	
Email			% of Shareholding
I.D Type		I.D number	Expiry date
Phone Number			BVN

Where there are more than two UBO, with more than 5% holding, please include a structure chart using all such UBO.

SALES PROCEED MODE OF PAYMENT

CSCS Direct Settlement

In-House Settlement

CSCS Number		CH Number	
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Requirements

- Completed KYC
- Two passport photographs of each signatory
- Valid ID of each authorized signatory
- Proof of address of each authorized signatory (includes but is not limited to (PHCN Bill, LAWMA Bill, Land Use Charge, Lease of Agreement).
- Form Co2 and Co7
- Certificate of Incorporation
- Signature Mandate
- Board Resolution
- Articles of Association (Memart)
- KYC of shareholders (ID, Proof of address, structure chart 5% & above)
- Evidence of change of name (If any)
- Proof of address of company

DECLARATION (Please read Carefully Before Signing)

- I agree that in compliance with the anti-money laundry legislation, transaction above N1million and N5million for individual and corporate organization respectively will be reported to Securities and Exchange Commission (SEC) or the Central Bank of Nigeria (CBN)
- I confirm that the information given on this form is true
- That accounts are to be adequately funded and debit balance, if any, must be cleared within 3days of its occurrence. • That debit balance not cleared within 3days will attract interest at two and half times over the treasury bill rate prevailing at the beginning of the month in question or 22% whichever is higher and
- That the company reserves the right to sell from the portfolio of the defaulter to clear the debit balance and the accrued interest if the balance is not cleared after 14 days.
- I hereby declare that I understand the conditions stated above and agree to abide with the conditions
- I hereby certify that all the information given above is correct. I also certify that I have read, understand, and agree with the attached terms and conditions governing the accounts/products I have requested, as well as assessment of your services via the channels we have selected.

PRIVACY NOTICE

According to the Nigerian Data Protection Regulation 2019, which guides all entities that collect, process, transmit and store data of natural persons to put in place policies and procedures that safeguard the rights of natural persons to data privacy, foster safe conduct of transactions involving the exchange of personal data and prevent manipulation of their data, Anchoria Investment and Securities Limited (from now called Anchoria) has responded per this regulation.

The following highlights our business requirements for collecting your personal data or information.

- To comply with applicable laws and regulations and to operate our business.
- To respond to your inquiries about Anchoria. To improve our products and services.
- To improve customer service delivery.
- To send promotional emails about new products, exclusive offers, or other information that we think you may find helpful using the email address you have provided.
- To contact you occasionally for market research purposes. We may contact you by email, phone, fax, or mail.
- To deliver technical and functional management on our site.
- To provide services following the agreement you are entering or have entered with us.

We only collect the information you give us, and by patronizing us, you have consented to the collection, processing, transmitting and storage of your data.

We only require the minimum amount of personal information necessary to fulfill the purpose of your interaction with us; we do not sell it to third parties, and we only use it as the privacy policy describes. Please visit our website for the detailed privacy policy.

INDEMNITY MANDATE

This Indemnity Mandate grants Anchoria Investment and Securities Limited (“AISL” or “the Company”) the authority to either receive an instruction letter/mandate form with a verified signature with AISL, text message, or email mandate (only from registered and verified phone number or email address with AISL) to purchase or sell stocks on your behalf at the best market prices unless otherwise stipulated. This is necessary to meet the regulator’s requirement for all mandates to be signed by the client.

N.B: In cases where the text message mode of mandate is sent, a sales representative/relationship manager would reach out to you for the regularization of documents. AISL reserves the right for whatever reason to act or not act upon instructions received via text messages without the regularization of mandate form/instruction letter from the client.

I/We indemnify and hold Anchoria Investment and Securities Limited harmless against all and any risks, proceedings, suits, costs, damages and claims, expenses, legal fees, liabilities and losses which Anchoria Investment and Securities Limited may become exposed to, suffer from, subjected to, incurred or made against, whether arising out of or in connection with the sales or purchase of stocks which could arise as a result of, but not limited to fluctuations in market price, internet or mobile network transmission, mutilated, duplicated, illegible, and altered letter/mandate form, provision of incorrect bank details, delay in remittance of sales proceedings for any reason, late receipt of mandates, errors from reliance on the information provided in this account opening document and signature specimen, and other events of force majeure, such as acts of God and other unforeseen natural events such as, but not limited to fire, flood, war, riot, urban unrest, terrorism, epidemic, and pandemic on my/our behalf.

Please tick as appropriate:

Walk-in only:

Text Message:

Email:

Corporate Client (Name):

Customer's Signature

Customer's Signature

Customer's Signature